

## Environmental and social safeguards report form pursuant to para. 17 of the IDP

| <b>Basic project or programme information</b>   |  |
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| <b>Project or programme title</b>   | Costa Rica REDD-plus Results-Based Payments for 2014 and 2015  |
| <b>Existence of subproject(s) to be identified after GCF Board approval</b>                     | No   |
| <b>Sector (public or private)</b>   | Public   |
| <b>Accredited entity</b>  | United Nations Development Programme (UNDP)  |
| <b>Environmental and social safeguards (ESS) category</b>                                       | Category B   |
| <b>Location – specific location(s) of project or target country or location(s) of programme</b> | Costa Rica   |
| <b>Environmental and Social Impact Assessment (ESIA) (if applicable)</b>                        |  |
| Date of disclosure on accredited entity's website   | Tuesday, October 6, 2020   |
| Language(s) of disclosure   | English and Spanish  |
| Explanation on language   | Spanish is the official language of Costa Rica.  |
| Link to disclosure  | English:<br><a href="https://www.cr.undp.org/content/dam/costa_rica/docs/undp_cr_Environmental_Social%20Management_20.pdf">https://www.cr.undp.org/content/dam/costa_rica/docs/undp_cr_Environmental_Social%20Management_20.pdf</a><br><br>Spanish:<br><a href="https://www.cr.undp.org/content/dam/costa_rica/docs/undp_cr_Marco_Gestion_Ambiental_Social_Pagos_REDD_20.pdf">https://www.cr.undp.org/content/dam/costa_rica/docs/undp_cr_Marco_Gestion_Ambiental_Social_Pagos_REDD_20.pdf</a>   |
| Other link(s)   | UNDP Costa Rica website presentation of the project and portal for access to all ESS documents:<br><a href="https://www.cr.undp.org/content/costarica/es/home/climate-and-disaster-resilience1/in-depth.html">https://www.cr.undp.org/content/costarica/es/home/climate-and-disaster-resilience1/in-depth.html</a><br><br>National Forestry Financing Fund Website:<br>Spanish:<br><a href="https://www.fonafifo.go.cr/media/3028/esp-09272020-pnud-5-esmf-rev-sept-2020-clean.pdf">https://www.fonafifo.go.cr/media/3028/esp-09272020-pnud-5-esmf-rev-sept-2020-clean.pdf</a> |
| Remarks   | An ESIA consistent with the requirements for a Category B project is contained in the “Environmental and Social Management Framework”.   |
| <b>Environmental and Social Management Plan (ESMP) (if applicable)</b>                          |  |
| Date of disclosure on accredited entity's website   | Tuesday, October 6, 2020   |
| Language(s) of disclosure   | English and Spanish  |
| Explanation on language   | Spanish is the official language of Costa Rica.  |

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| Link to disclosure  | <p>English:<br/> <a href="https://www.cr.undp.org/content/dam/costa_rica/docs/undp_cr_Environmental_Social%20Management_20.pdf">https://www.cr.undp.org/content/dam/costa_rica/docs/undp_cr_Environmental_Social%20Management_20.pdf</a></p> <p>Spanish:<br/> <a href="https://www.cr.undp.org/content/dam/costa_rica/docs/undp_cr_Marco_Gestion_Ambiental_Social_Pagos_REDD_20.pdf">https://www.cr.undp.org/content/dam/costa_rica/docs/undp_cr_Marco_Gestion_Ambiental_Social_Pagos_REDD_20.pdf</a></p>   |
| Other link(s)   | <p>UNDP Costa Rica website presentation of the project and portal for access to all ESS documents:<br/> <a href="https://www.cr.undp.org/content/costarica/es/home/climate-and-disaster-resilience1/in-depth.html">https://www.cr.undp.org/content/costarica/es/home/climate-and-disaster-resilience1/in-depth.html</a></p> <p>National Forestry Financing Fund Website:<br/> Spanish:<br/> <a href="https://www.fonafifo.go.cr/media/3028/esp-09272020-pnud-5-esmf-rev-sept-2020-clean.pdf">https://www.fonafifo.go.cr/media/3028/esp-09272020-pnud-5-esmf-rev-sept-2020-clean.pdf</a></p>   |
| Remarks   | An ESMP consistent with the requirements for a Category B project is contained in the “Environmental and Social Management Framework”.  |
| <b>Environmental and Social Management (ESMS) (if applicable)</b>   |   |
| Date of disclosure on accredited entity's website   | N/A   |
| Language(s) of disclosure   | N/A   |
| Explanation on language   | N/A   |
| Link to disclosure  | N/A   |
| Other link(s)   | N/A   |
| Remarks   | N/A   |
| <b>Any other relevant ESS reports, e.g. Resettlement Action Plan (RAP), Resettlement Policy Framework (RPF), Indigenous Peoples Plan (IPP), IPP Framework (if applicable)</b> |   |
| Description of report/disclosure on accredited entity's website   | Environmental and Social Assessment (ESA)/Tuesday, October 9, 2020  |
| Language(s) of disclosure   | English and Spanish   |
| Explanation on language   | Spanish is the official language of Costa Rica.   |
| Link to disclosure  | <p>English:</p> <p>Environmental and Social Assessment Costa Rica's Payment for Environmental Services Program (2014-2015)<br/> <a href="https://www.cr.undp.org/content/dam/costa_rica/docs/undp_cr_Environmental_Social%20Assessment_20.pdf">https://www.cr.undp.org/content/dam/costa_rica/docs/undp_cr_Environmental_Social%20Assessment_20.pdf</a></p> <p>Annex A: Matrix for FONAFIFO's Payment for Environmental Services Program: Policy, Law and Regulations Analysis alignment with UNDP SES Standards and Cancun Safeguards<br/> <a href="https://www.cr.undp.org/content/dam/costa_rica/docs/undp_cr_Matrix_%20FONAFIFO_Payment_ESP_20.pdf">https://www.cr.undp.org/content/dam/costa_rica/docs/undp_cr_Matrix_%20FONAFIFO_Payment_ESP_20.pdf</a></p> <p>ANNEX B: Project Alignment Review for FONAFIFO's Payment for Environmental Services Program<br/> <a href="https://www.cr.undp.org/content/dam/costa_rica/docs/undp_cr_Review_FONAFIFO_Payment_ESP_20.pdf">https://www.cr.undp.org/content/dam/costa_rica/docs/undp_cr_Review_FONAFIFO_Payment_ESP_20.pdf</a></p> |

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|  | <p>Spanish:</p> <p>Evaluación Ambiental y Social, El Programa de Pago por Servicios Ambientales de Costa Rica (2014-2015) 2020<br/> <a href="https://www.cr.undp.org/content/dam/costa_rica/docs/undp_cr_Evaluacion_Ambiental_Social_Programa_PSA.pdf">https://www.cr.undp.org/content/dam/costa_rica/docs/undp_cr_Evaluacion_Ambiental_Social_Programa_PSA.pdf</a></p> <p>ANEXO A: Matriz del Programa de Pago por Servicios Ambientales de FONAFIFO 2020<br/> <a href="https://www.cr.undp.org/content/dam/costa_rica/docs/undp_cr_Matriz_Programa_PSA_20.pdf">https://www.cr.undp.org/content/dam/costa_rica/docs/undp_cr_Matriz_Programa_PSA_20.pdf</a></p> <p>ANEXO B: Revisión de la alineación del proyecto del Programa de Pago por Servicios Ambientales de FONAFIFO 2020<br/> <a href="https://www.cr.undp.org/content/dam/costa_rica/docs/undp_cr_Revision_alineacion_proyecto_PSA_%20FONAFIFO_20.pdf">https://www.cr.undp.org/content/dam/costa_rica/docs/undp_cr_Revision_alineacion_proyecto_PSA_%20FONAFIFO_20.pdf</a></p>                       |
| Other link(s)  | <p>National Forestry Financing Fund website<br/> Spanish:</p> <p>Evaluación Ambiental y Social, El Programa de Pago por Servicios Ambientales de Costa Rica (2014-2015) 2020<br/> <a href="https://www.fonafifo.go.cr/media/3022/esp-09272020-pnud-2-esa-cover-rev-sept-2020-clean.pdf">https://www.fonafifo.go.cr/media/3022/esp-09272020-pnud-2-esa-cover-rev-sept-2020-clean.pdf</a></p> <p>ANEXO A: Matriz del Programa de Pago por Servicios Ambientales de FONAFIFO 2020<br/> <a href="https://www.fonafifo.go.cr/media/3024/esp-09272020-pnud-3-annex-a-plr-analysis-and-matrix-clean.pdf">https://www.fonafifo.go.cr/media/3024/esp-09272020-pnud-3-annex-a-plr-analysis-and-matrix-clean.pdf</a></p> <p>ANEXO B: Revisión de la alineación del proyecto del Programa de Pago por Servicios Ambientales de FONAFIFO 2020<br/> <a href="https://www.fonafifo.go.cr/media/3026/esp-09272020-pnud-4-annex-b-project-level-alignment-clean.pdf">https://www.fonafifo.go.cr/media/3026/esp-09272020-pnud-4-annex-b-project-level-alignment-clean.pdf</a></p> |
| Remarks  | <p>Description of other documents disclosed:</p> <p>The ESA provides the assessment by UNDP on the extent to which the REDD+ actions and the relevant policies, laws and regulations for which the results-based payments are requested were consistent with the applicable ESS standards.</p>  |
| <b>Disclosure in locations convenient to affected peoples (stakeholders)</b> |   |
| Date   | Tuesday, October 6, 2020  |
| Place  | <p>UNDP Costa Rica<br/> Office Center La Virgen #2, from American Embassy 300m south and 200m south east.<br/> Pavas, San José<br/> PO BOX: 4540-1000<br/> Republic of Costa Rica</p> <p>Ministry of Environment and Energy (MINAE)<br/> Calle 25, Av. 8-10,<br/> San Jose,<br/> Republic of Costa Rica</p>   |

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|   | National Forestry Financing Fund (FONAFIFO)<br>IFAM Building, from Lincoln Plaza Mall 200 m west, 100 m south<br>and 200m west.<br>San Vicente de Moravia.<br>Republic of Costa Rica |
| <b>Date of Board meeting in which the FP is intended to be considered</b> |  |
| Date of accredited entity's Board meeting                                 | N/A  |
| Date of GCF's Board meeting   | Monday, November 9, 2020   |

**Note: This form was prepared by the accredited entity stated above.**

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